

ClydeSnow
ATTORNEYS AT LAW
CLYDE SNOW & SESSIONS
A PROFESSIONAL CORPORATION
ONE UTAH CENTER • THIRTEENTH FLOOR
201 SOUTH MAIN STREET
SALT LAKE CITY, UTAH 84111-2216
TEL 801.322.2516 • FAX 801.521.6280
www.clydesnow.com

RODNEY G. SNOW
STEVEN E. CLYDE
EDWIN C. BARNES
NEIL A. KAPLAN*
D. BRENT ROSE
J. SCOTT HUNTER
PERRIN R. LOVE
DEAN C. ANDREASEN
ANNELI R. SMITH
WALTER A. ROMNEY, JR.
MATTHEW A. STEWARD
T. MICKELL JIMENEZ
CHRISTOPHER B. SNOW*
BRENT R. BAKER[□]
AARON D. LEBENTA
WAYNE Z. BENNETT
BRIAN A. LEBRECHT[□]
ROBERT D. ANDREASEN
TIMOTHY R. PACK
JAMES W. ANDERSON
DIANA L. TELFER
SHANNON K. ZOLLINGER
JONATHAN S. CLYDE ^Δ

VICTORIA B. FINLINSON
EMILY E. LEWIS*
JONATHAN D. BLETZACKER
JOHN S. PENNINGTON[□]
PARKER B. MORRILL^Δ*
TRENTON L. LOWE

OF COUNSEL:
CLARK W. SESSIONS[‡]
REAGAN L.B. DESMOND^{□ Δ}
LISA A. MARCY
EVAN B. SORENSEN[□]
EDWARD W. CLYDE (1917-1991)

[‡] SENIOR COUNSEL
* ALSO ADMITTED IN DISTRICT OF COLUMBIA
[□] ALSO ADMITTED IN NEW YORK
[□] ALSO ADMITTED IN CALIFORNIA
^Δ ALSO ADMITTED IN OREGON
• ALSO ADMITTED IN WYOMING

November 14, 2017

Via CM/ECF

Honorable Denise L. Cote
United States District Court
Southern District of New York
500 Pearl Street, Room 1610
New York, NY 10007

Re: *Alpine Securities Corporation adv. United States Securities and Exchange Comm.*
Civil No. 1:17-cv-04176-DLC-RLE

Dear Judge Cote:

Plaintiff the Securities and Exchange Commission (“SEC”) and Defendant Alpine Securities Corporation (“Alpine”) have conferred regarding a briefing schedule for the summary judgment practice discussed during the November 2, 2017 hearing with the Court, and hereby submit the following stipulated schedule for approval by the Court:

1. The SEC may file a Motion for Partial Summary Judgment with exemplar SARs on or before December 4, 2017.
2. Alpine may file an Opposition to SEC’s Motion for Partial Summary Judgment, and any Cross-Motion for Summary Judgment, on or before January 12, 2018.
3. The SEC may file a Reply Memorandum in Support of its Motion for Partial Summary Judgment, and an Opposition to any Cross-Motion for Partial Summary Judgment filed by Alpine, on or before January 26, 2018.
4. Alpine may file a Reply Memorandum in Support of its Cross-Motion for Summary Judgment on or before February 9, 2018.

Respectfully submitted,

/s/ Zachary T. Carlyle

Zachary T. Carlyle (*pro hac vice*)

Terry R. Miller (*pro hac vice*)

Attorneys for Plaintiff

UNITED STATES SECURITIES AND
EXCHANGE COMMISSION

1961 Stout Street, 17th Floor

Denver, Colorado 80294

(303) 844-1000

/s/ Brent R. Baker

Brent R. Baker (BB 8285)

Aaron D. Lebenta (*pro hac vice*)

Jonathan D. Bletzacker (*pro hac vice*)

CLYDE SNOW & SESSIONS

One Utah Center, 13th Floor

201 South Main Street

Salt Lake City, Utah 84111-2216

Telephone 801.322.2516

Facsimile 801.521.6280

Email: brb@clydesnow.com

adl@clydesnow.com

jdb@clydesnow.com

Maranda E. Fritz

335 Madison Avenue, 12th Floor

New York, New York 10017-4611

Tel. (212) 344-5680

Fax (212) 344-6101

Maranda.Fritz@thompsonhine.com

Attorneys for Defendant

Alpine Securities Corporation

cc: Counsel of Record (via CM/ECF)